

Docket No. 210552US0PCT/sdc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Stefan DREHER, et al.
SERIAL NO: 09/868,903
FILED: July 9, 2001
FOR: POLYMER DISPERSIONS

GAU: 1714
EXAMINER:

#4
10/04/01
AS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of reference(s) AO through AQ, have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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DOCKET NO: 210552USPCT

Sheet 1 of 1

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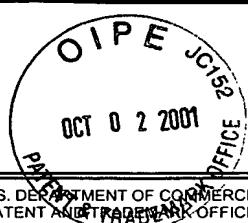
Group Art Unit: 1714

STATEMENT OF RELEVANCY

References AR through AX on Form 1449:

These references are discussed in the specification.

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SHEET 1 OF 1

Form PTO 1449 (Modified)			U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 210552US0PCT	SERIAL NO. 09/868,903	
LIST OF REFERENCES CITED BY APPLICANT			APPLICANT Stefan DREHER, et al.				
			FILING DATE July 9, 2001		GROUP 1714		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,397,968	08/09/83	H. ECK, et al.			
	AB	4,587,278	05/06/86	B. DOTZAUER, et al.			
	AC	6,051,640	04/18/2000	B. RECK, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
	AO	WO 97/15616	05/01/97	WIPO			
	AP	WO 97/15617	05/01/97	WIPO			
	AQ	WO 94/05896	03/17/94	WIPO			
	AR	WO 92/00335	01/09/92	WIPO			
	AS	31 11 602		GERMANY (corr. US 4,397,968)			
	AT	123 234		EUROPE (corr. US 4,587,278)			
	AU	735 061		EUROPE (corr. US 6,051,640)			
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	R. J. HUNTER, Academic Press, 5 pages, "THE ZETA POTENTIAL IN COLLOIDAL SCIENCE," 1981 (Table of Contents only)					
	AX	D. H. NAPPER, Academic Press, pages vii-xvi, "POLYMERIC STABILIZATION OF COLLOIDAL DISPERSIONS," 1983 (Table of Contents only)					
	AY						
	AZ						
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							